

Fact Finder



Your Priorities | Our Guidance

2259 N State Route 48 | Lebanon, OH 45036 | 513-572-8521 | sorumfinancial.com

Personal Information - Client	Personal Information - Co-Client
Your Name	Your Name
I Prefer to be called	I Prefer to be called
Home Address	Home Address
Social Security #	Social Security #
Date of Birth	Date of Birth
License#/issue date/exp. date	License#/issue date/exp. date
Cell Phone	Cell Phone
Home Phone	Home Phone
Email Address	Email Address
Occupation	Occupation
Employer	Employer
Marital Status <input type="checkbox"/> Single <input type="checkbox"/> Married <input type="checkbox"/> Divorced <input type="checkbox"/> Widow(er) <input type="checkbox"/> Unmarried Partner	

Children / Dependents

Name & Relationship	Date of Birth	Annual Cost of College	1st Year of College	Saved Funds	% You'll Pay

Sources of Income

Base Salary	Base Salary
Expected Bonus	Expected Bonus
Social Security (Monthly)	Social Security (Monthly)
Pension (Monthly)	Pension (Monthly)
Additional Sources	Additional Sources



Financial Planning Priorities

Please rank 1-10, 1 being the most important	Client's Ranking	Please rank 1-10, 1 being the most important	Co-Client's Ranking
Cash Flow/Debt Management & Budgeting		Cash Flow/Debt Management & Budgeting	
Risk Management / Insurance		Risk Management / Insurance	
Retirement Income Planning		Retirement Income Planning	
College Funding Strategy		College Funding Strategy	
Leave a Legacy to My Children or Charity		Leave a Legacy to My Children or Charity	
Purchase a Home		Purchase a Home	
Estate Planning		Estate Planning	
Income Tax Planning		Income Tax Planning	
Building Wealth		Building Wealth	
Other (Specify)		Other (Specify)	

Investment Experience

What investments have you owned previously?

CDs
 Stocks
 Mutual Funds
 Bonds
 Annuities
 ETFs
 Structured Products
 Other, please explain

Years of investment experience

CDs
 Stocks
 Mutual Funds
 Bonds
 Annuities
 ETFs
 Structured Products
 Other, please explain

Estate Planning

Client	Co - Client
Do you have a Will?	Do you have a Will?
Do you have Durable Power of Attorney?	Do you have Durable Power of Attorney?
Do you have Healthcare Power of Attorney?	Do you have Healthcare Power of Attorney?
Do you have a Living Trust?	Do you have a Living Trust?
When was your estate plan updated last?	When was your estate plan updated last?
Who is your attorney?	Who is your attorney?

Retirement Planning

At what age would you like to retire? (Ideal/Latest)



Assets

Bank/Money Market/Checking/Savings/CDs	Where it's Invested	Current Value

Non - Retirement Investment Accounts	Where it's Invested	Current Value

College Savings Accounts	Beneficiary	Where it's Invested	Current Value

Retirement Plans

Type: IRA, ROTH IRA, 401 (k), 403(b), Pension, Profit Sharing, SEP, SIMPLE IRA, etc. (Indicate owner)	Where it's Invested	Current Value

Real Estate Property

	Home	Other #1	Other #2
Address			
Mortgage Amount			
Mortgage Date			
Interest Rate / Years Remain			
Monthly Payment			
Property Taxes			
Homeowners Insurance (Annual)			
HOA / Condo Fees (Monthly)			



Liabilities / Debts (Not Real Estate)

List All Loans and Debts (Auto, School, Credit Cards, Lines of Credit, Etc.)	Amount Due	Monthly Payment	Est. Payoff Date	Interest Rate

Insurance

.Life Insurance	Policy #1	Policy #2	Policy #3	Policy #4
Company Name				
Amount				
Start Date / Expire Date				
Annual Premium				
Insured / Beneficiary				

Disability Insurance	Client	Co - Client
Name of Insurance Carrier		
Monthly Benefit / Length of Benefits		
Annual Premium		
Waiting Period		
Auto Insurance	Policy #1	Policy #2
Name of Insurance Carrier		
Deductible for Collision/Comprehensive		
Annual Premium		
Homeowner's Insurance	Policy #1	Policy #2
Name of Insurance Carrier		
Deductible		
Annual Premium		
Umbrella Coverage Amount / Premium		

* For insurance section, you may send us the declaration pages of your policy instead of filling out form *



Your Thoughts & Questions

This page is reserved so you can prepare any questions you have for us.

A large, empty light gray rectangular area intended for the user to write their thoughts and questions.